

PART B - FEE(S) TRANSMITTAL

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50446 1590 06/14/2010

HOXIE & ASSOCIATES LLC
 75 MAIN STREET, SUITE 301
 MILLBURN, NJ 07041

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DATE: SEPTEMBER 14, 2010 (Depositor's name)
 BY: Richard A. Elder (Signature)
SEPTEMBER 14, 2010 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/543,175	07/14/2005	Peter Von Matt	NOV-11-US	8299

TITLE OF INVENTION: INDOLYLMALEIMIDE DERIVATIVES

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	FEE PAID ISSUE FEE	TOTAL FEE DUE	DATE PAID
nonprovisional	NO	\$1510	\$300	\$0	\$1810	09/14/2010

EXAMINER	ART UNIT	CLASS/SUBCLASS
KORACE, JOSEPH R	1626	514-233000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/NB/E22) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1. HOXIE & ASSOCIATES LLC
 2. _____
 3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NOVARTIS AG

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

LICHTSTRASSE 35
Basel, Switzerland 4056

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Richard A. Elder

Date September 14, 2010

Typed or printed name Richard A. Elder

Registration No. 30,255

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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